

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



July 29, 2021

Jeffrey T. Linam  
Vice President of Rates & Regulatory  
California-American Water Company  
4701 Beloit Drive  
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 1329, filed on March 31, 2021, regarding 2020 WRAM & MCBA for the Monterey Service Area district.

Enclosed are copies of the following revised tariff sheets, effective March 31, 2021, for the utility's files:

<b>P.U.C. Sheet No.</b>	<b>Title of Sheet</b>
10018-W	Schedule No. MO-1-SF, GENERAL METERED SERVICE in the Monterey Service Area Tariff Area SINGLE FAMILY RESIDENTIAL CUSTOMERS, Sheet 7
10019-W	Schedule No. MO-1-SF, GENERAL METERED SERVICE in the Monterey Service Area Tariff Area SINGLE FAMILY RESIDENTIAL CUSTOMERS, Sheet 8
10020-W	Schedule No. MO-1-MF, GENERAL METERED SERVICE in the Monterey Service Area Tariff Area MULTI-FAMILY RESIDENTIAL CUSTOMERS, Sheet 7
10021-W	Schedule No. MO-1-MF, GENERAL METERED SERVICE in the Monterey Service Area Tariff Area MULTI-FAMILY RESIDENTIAL CUSTOMERS, Sheet 8
10022-W	Schedule No. MO-1C, GENERAL METERED SERVICE in the Monterey Service Area Tariff Area NON-RESIDENTIAL CUSTOMERS, Sheet 10
10023-W	Schedule No. MO-1O, GENERAL METERED SERVICE in the Monterey Service Area Tariff Area OTHER CUSTOMERS, Sheet 8
10024-W	TABLE OF CONTENTS, Sheet 3
10025-W	TABLE OF CONTENTS, Sheet 1

Please contact Bradley Leong at [BL4@cpuc.ca.gov](mailto:BL4@cpuc.ca.gov) or 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant  
Water Division

Enclosures





4701 Beloit Drive  
Sacramento, CA 95838  
[www.amwater.com](http://www.amwater.com)

P (916)-568-4251  
F (916) 568-4260

March 31, 2021

ADVICE LETTER NO. 1329

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey Service Area which are attached hereto:

### **PURPOSE**

The purpose of this advice letter filing is to request recovery of the 2020 WRAM & MCBA balances. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in D.08-11-023, D.12-04-048, D.13-07-041 and D.18-12-021.

### **BACKGROUND**

D.09-07-021 originally approved the WRAM & MCBA for Monterey. Certain requirements from the original process were modified in D.13-07-041. Notably, the settlement adopted by the decision agreed to certain procedures outlined D.12-040-048, which California American Water was not a party to.

*The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts.*

*This includes:*

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;...*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. California American Water will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

Further, D.16-12-003 addressed the recovery of balances through December 31, 2014.

The decision authorized a meter based surcharge over five years. This was implemented through the filing of advice letter 1146-A. The decision also modified the recovery method for future surcharges and imposed a new noticing requirement. Ordering paragraph two states,

- 1. Future Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) advice letters filed and served by California- American Water Company (California American Water) for the Monterey District shall request recovery of under-collections (or refunds of over-collections) by a uniform surcharge (or surcredit) on each unit of water sold (volumetric rate) including Tier 1. This applies to all WRAM/MCBA balances recovered once the new rate design is implemented. California American Water shall provide customer notice of each such advice letter consistent with General Order (GO) 96-B. In addition, for each of the next three advice letter requests, California American Water shall notify all customers in the Monterey District by bill insert or direct mail of the request even if that notice is not otherwise required by GO 96-B. The Notice shall be approved by the Commission's Public Advisor before it is issued by California American Water.*

In addition, D.18-12-021 increases the cap on amortization of the WRAM/MCBA balances:

#### *Ordering Paragraph*

- 151. The cap on amortization of WRAM/MCBA balances should not be eliminated but should be increased to 15% of the last authorized revenue requirement for each of California American Water's districts.*

California American Water submitted its annual report with DWA on 11/30/2020. The report contained recorded balances January 1 through October 31, 2020 and forecasted balances for the period November 1 through December 31, 2020.

#### **REQUEST**

The existing cap on the annual recovery of the WRAM/MCBA surcharge was raised from 10% to 15% of the authorized revenue requirement by the Commission in D.18-12-021. California American Water requests approval of the 2020 WRAM/MCBA balance and the associated tariff language but is not requesting an amortization and placement into rates at this time. This request will allow the current surcharge recovery associated with the 2016 balances to remain the same.<sup>1</sup>

The balances for the WRAM and MCBA are summarized in the table below.

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<sup>1</sup> Consistent with Advice Letter 1265-A and the manner in which WRAM/MCBAs balances are collected in other Districts; California American Water intends to net the 2017, 2018, 2019 and 2020 WRAM/MCBA balances in order to collect these balances in a timely manner and reduce inter-generational inequities.

TABLE 1: Recorded Recovery of WRAMMCBA Balances				
DESCRIPTION	Authorized WRAMMCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Balance
<b>Residential</b>				
Recorded Balance not yet Approved for YR 2020	\$4,116,255	\$2,398	\$0	\$4,118,653
UAW Penalty/(Reward)				(\$103,133)
Residential Balance 2020				\$4,015,520
<b>Non-Residential</b>				
Recorded Balance not yet Approved for YR 2020	(\$4,117,009)	(\$4,242)	\$0	(\$4,121,250)
UAW Penalty/(Reward)				(\$55,910)
Non-Residential Balance 2020				(\$4,177,160)
<b>Monterey District</b>				
Balance 2020				(\$161,640)
2020 Adopted Revenue				\$67,106,098
2020 Balance % of Revenue				0.24%

**SERVICE LIST**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

**EFFECTIVE DATE**

California American Water submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of March 31, 2021.

**NOTICE**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being provided to those entities listed in the attached "SERVICE LIST PURSUANT TO SECTION 4.3 OF G.O. NO. 96-B." Per guidance from the California Public Utilities Commission's Water Division, during the COVID-19 pandemic advice letters will only be delivered electronically to the service list. Hardcopy advice letters will be mailed as soon as administrative staff are able to return to California American Water offices.

**RESPONSE OR PROTEST<sup>2</sup>**

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

<sup>2</sup> G.O. 96-B, General Rule 7.4.1

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>3</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**

[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**

CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

**Email Address:**

[Nancy.Hollingsworth@amwater.com](mailto:Nancy.Hollingsworth@amwater.com)

**Mailing Address:**

4701 Beloit Drive  
Sacramento, CA 95838

[sarah.leeper@amwater.com](mailto:sarah.leeper@amwater.com)

555 Montgomery Street, Ste. 916  
San Francisco, CA 94111

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

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<sup>3</sup> G.O. 96-B, General Rule 7.4.2

**REPLIES**<sup>4</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Jeffrey T. Linam*

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Jeffrey T. Linam

Vice President of Rates & Regulatory

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<sup>4</sup> G.O. 96-B, General Rule 7.4.3



Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
10018-W	Schedule No. MO-1-SF GENERAL METERED SERVICE in the Monterey Service Area Tariff Area SINGLE FAMILY RESIDENTIAL CUSTOMERS Sheet 7	9773-W
10019-W	Schedule No. MO-1-SF GENERAL METERED SERVICE in the Monterey Service Area Tariff Area SINGLE FAMILY RESIDENTIAL CUSTOMERS Sheet 8	10005-W
10020-W	Schedule No. MO-1-MF GENERAL METERED SERVICE in the Monterey Service Area Tariff Area MULTI-FAMILY RESIDENTIAL CUSTOMERS Sheet 7	9781-W
10021-W	Schedule No. MO-1-MF GENERAL METERED SERVICE in the Monterey Service Area Tariff Area MULTI-FAMILY RESIDENTIAL CUSTOMERS Sheet 8	10006-W
10022-W	Schedule No. MO-1C GENERAL METERED SERVICE in the Monterey Service Area Tariff Area NON-RESIDENTIAL CUSTOMERS Sheet 10	
10023-W	Schedule No. MO-1O GENERAL METERED SERVICE in the Monterey Service Area Tariff Area OTHER CUSTOMERS Sheet 8	
10024-W	TABLE OF CONTENTS Sheet 3	10013-W
10025-W	TABLE OF CONTENTS Sheet 1	10017-W

Schedule No. MO-1-SF  
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
SINGLE FAMILY RESIDENTIAL CUSTOMERS

Sheet 7

**SPECIAL CONDITIONS (Continued):**  
**Fees and Surcharges**

- 10. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates.
- 11. Per D.18-12-021 a meter based bill credit for the 2018 Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$1.47
3/4	\$2.21
1	\$3.68
1 1/2	\$7.35
2	\$11.77
3	\$22.06
4	\$36.77
6	\$73.54
8	\$117.66
10	\$169.14

- 12. Per Advice Letter 1265-A, the net under-collected balance of the 2018 residential WRAM/MCBA is \$38,829, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Rates will be established through a separate Tier 1 filing to update the tariff language and surcharge based upon the then authorized amortization.
- 13. Per Advice Letter 1291-A, the net under-collected balance of the 2019 residential WRAM/MCBA is \$1,238,592, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Rates will be established through a separate Tier 1 filing to update the tariff language and surcharge based upon the then authorized amortization.
- 14. Per Advice Letter 1329, the net over-collected balance of the 2020 residential WRAM/MCBA is \$4,015,520, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Rates will be established through a separate Tier 1 filing to update the tariff language and surcharge based upon the then authorized amortization.

(N)  
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(N)

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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1329	J. T. LINAM	Date Filed <u>03/31/2021</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/31/2021</u>
		Resolution _____

Schedule No. MO-1-SF

GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
SINGLE FAMILY RESIDENTIAL CUSTOMERS

**SPECIAL CONDITIONS (Continued):**

**Fees and Surcharges:**

15. Per D.18-12-021 a meter-based bill credit for the 2018 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-month periods beginning January 21, 2020. (T)

Meter Size	Refunds by Meter Equivalents
5/8-inch meter	\$0.30
3/4-inch meter	\$0.45
1-inch meter	\$0.75
1-1/2-inch meter	\$1.51
2-inch meter	\$2.41
3-inch meter	\$4.52
4-inch meter	\$7.53
6-inch meter	\$15.05
8-inch meter	\$24.08
10-inch meter	\$34.62

16. Per Advice Letter 1311, a surcharge is applied to each bill to offset increases in purchased water costs imposed by Monterey Peninsula Water Management District (MPWMD). The offset results in a needed increase of \$405,474 or 0.53%. The surcharge of \$0.2011 per cgl is added to the quantity charge beginning October 21, 2020. (T)

17. Per D. 18-12-021 a meter-based bill credit for the 2019 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over 12-months beginning November 1, 2020. (T)

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$0.21
3/4	\$0.32
1	\$0.53
1-1/2	\$1.07
2	\$1.70
3	\$3.20
4	\$5.33
6	\$10.66
8	\$17.05
10	\$24.51

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(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	1329	J. T. LINAM	Date Filed	<u>03/31/2021</u>
Decision		DIRECTOR - Rates & Regulatory	Effective	<u>03/31/2021</u>
			Resolution	_____

Schedule No. MO-1-MF  
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
MULTI-FAMILY RESIDENTIAL CUSTOMERS

Sheet 7

**SPECIAL CONDITIONS (continued):**

**Fees and Surcharges**

10. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates.
11. Per D.18-12-021 a meter based bill credit for the 2018 Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$ 1.47
3/4	\$ 2.21
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3	\$ 22.06
4	\$ 36.77
6	\$ 73.54
8	\$ 117.66
10	\$ 169.14

12. Per Advice Letter 1265-A, the net under-collected balance of the 2018 residential WRAM/MCBA is \$38,829, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.
13. Per Advice Letter 1291-A, the net under-collected balance of the 2019 residential WRAM/MCBA is \$1,238,592, including interest. D. 18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.
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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1329	J. T. LINAM	Date Filed <u>03/31/2021</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/31/2021</u>
		Resolution _____

Schedule No. MO-1-MF

GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
MULTI-FAMILY RESIDENTIAL CUSTOMERS

**SPECIAL CONDITIONS (continued):**

**Fees and Surcharges:**

15. Per D.18-12-021 a meter-based bill credit for the 2018 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-month periods beginning January 21, 2020. (T)

Meter Size	Refunds by Meter Equivalents
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10-inch meter	\$34.62

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3/4-inch meter	\$0.32
1-inch meter	\$0.53
1-1/2-inch meter	\$1.07
2-inch meter	\$1.70
3-inch meter	\$3.20
4-inch meter	\$5.33
6-inch meter	\$10.66
8-inch meter	\$17.05
10-inch meter	\$24.51

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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1329	J. T. LINAM	Date Filed <u>03/31/2021</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/31/2021</u>
		Resolution _____

655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. MO-1C

Sheet 10

GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
NON-RESIDENTIAL CUSTOMERS

**SPECIAL CONDITIONS (Continued)**

**Fees and Surcharges:**

- 15. Per Advice Letter 1329, the net under-collected balance of the 2020 non-residential WRAM/MCBA is \$4,177,160, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Rates will be established through a separate Tier 1 filing to update the tariff language and surcharge based upon the then authorized amortization. (N)

(N)

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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1329	J. T. LINAM	Date Filed <u>03/31/2021</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/31/2021</u>
		Resolution _____

655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. MO-10 Sheet 8  
 GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
OTHER CUSTOMERS

**SPECIAL CONDITIONS (Continued)**

**Fees and Surcharges:**

- 15. Per Advice Letter 1329, the net under-collected balance of the 2020 non-residential WRAM/MCBA is \$4,177,160, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Rates will be established through a separate Tier 1 filing to update the tariff language and surcharge based upon the then authorized amortization.

(N)  
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(TO BE INSERTED BY UTILITY)	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>03/31/2021</u> Effective <u>03/31/2021</u> Resolution _____
Advice 1329 Decision		





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<u>(TO BE INSERTED BY UTILITY)</u>	<u>ISSUED BY</u>	<u>(TO BE INSERTED BY C.P.U.C.)</u>
Advice      1329	J. T. LINAM	Date Filed <u>03/31/2021</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/31/2021</u>
		Resolution      _____

**MONTEREY COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1329**

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**BY MAIL:**

Joe Lucido  
25417 Boots Road  
Monterey, CA 93940

Los Angeles Docket Office  
California Public Utilities Commission  
320 West 4th Street, Suite 500  
Los Angeles, CA 90013

Alco Water Service  
249 Williams Road  
Salinas, CA 93901

Monterey Regional Water Pollution  
Control Agency (MRWPCA)  
5 Harris Court Road. Bldg D.  
Monterey, CA 93940

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942

Yazdan Emrani, P.E.  
Deputy Pub Works Director – Operations  
Monterey County DPW  
168 W. Alisal Street, 2nd floor  
Salinas, CA 93901-2680

City of Pacific Grove  
c/o Community Development Department  
Attention: Sarah Hardgrave  
300 Forest Ave., 2nd floor  
Pacific Grove, CA 93950

City of Pacific Grove  
City Attorney/City Hall  
300 Forest Ave 2nd floor  
Pacific Grove, CA 93950

Ann Camel  
City Clerk  
City of Salinas  
200 Lincoln Avenue  
Salinas, CA 93901

City of Sand City  
City Hall  
California & Sylvan Avenues  
Sand City, CA 93955  
Attn: City Clerk

Deborah Mall, City Attorney  
City of Monterey  
512 Pierce Street  
Monterey, CA 93940

Karen Crouch  
City Clerk,  
Carmel-By-The-Sea  
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