

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



February 10, 2017

Jeffrey T. Linam  
Director – Rates & Regulation  
California-American Water Company  
4701 Beloit Dr.  
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 1139-A, (Supplement to Advice Letter No. 1139), filed on January 9, 2017, regarding the 2017 Step Rate filing located in the Ventura County District that was submitted in accordance with D.15-04-007.

Enclosed are copies of the following revised tariff sheets for the utility's files:

<b><u>P.U.C. Sheet No.</u></b>	<b><u>Title of Sheet</u></b>
8335-W	Schedule No. VN-1, General Metered Service
8336-W	Schedule No. VN-1, General Metered Service, Continued
8337-W	Schedule No. VN-1, General Metered Service, Continued
8338-W	Schedule No. VN-9MC, Metered Construction Service
8339-W	Schedule No. VN-9MC, Metered Construction Service, Continued
8340-W	Schedule No. CA-LIRA, Low Income Ratepayer Assistance Program
8341-W	Table of Contents, Page 2, Continued
8342-W	Table of Contents, Page 3, Continued
8343-W	Table of Contents, Page 1

Please contact Jocelyn Wong at (415) 703-2144 if you have any questions.

Thank you,

/s/JENNIFER PEREZ

Jennifer Perez  
Water & Sewer Advisory Branch  
Division of Water and Audits  
Enclosures



4701 Beloit Drive  
 Sacramento, CA 95838  
[www.amwater.com](http://www.amwater.com)

P (916)-568-4251  
 F (916) 568-4260

January 9, 2017

ADVICE LETTER NO. 1139-A

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Ventura County District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
8335-W	Schedule No. VN-1 Ventura County District Tariff Area GENERAL METERED SERVICE	8084-W
8336-W	Schedule No. VN-1 (Continued) Ventura County District Tariff Area GENERAL METERED SERVICE	8085-W
8337-W	Schedule No. VN-1 (Continued) Ventura County District Tariff Area GENERAL METERED SERVICE	8282-W
8338-W	Schedule No. VN-9MC Ventura County District Tariff Area METERED CONSTRUCTION SERVICE	8087-W
8339-W	Schedule No. VN-9MC (Continued) Ventura County District Tariff Area METERED CONSTRUCTION SERVICE	8283-W
8340-W	Schedule No. CA-LIRA Ventura County District Tariff Area LOW INCOME RATEPAYER ASSISTANCE PROGRAM	8130-W
8341-W	TABLE OF CONTENTS (Continued) (Page 2)	8329-W
8342-W	TABLE OF CONTENTS (Continued) (Page 3)	8330-W
8343-W	TABLE OF CONTENTS (Page 1)	8334-W

Schedule No. VN-1  
Ventura County District Tariff Area  
GENERAL METERED SERVICE

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

Portions of Thousand Oaks, Newbury Park, an area adjacent to Camarillo, and vicinity, Ventura County

RATES

Quantity Rates:

	<u>Base Rate</u>	
	<u>Per 100 gal (CGL)</u>	
<b><u>Residential Customers:</u></b>		
For the first 89.7 CGL	\$0.4940	(I)
For the next 89.7 CGL	\$0.6334	
For the next 269.2 CGL	\$0.8424	
For all water delivered over 448.8 CGL	\$1.2414	(I)
 <b><u>All Other Customers:</u></b>		
For all water delivered, per CGL	\$0.6334	(I)

Service Charge: General Metered

	<u>Per Meter</u>	
	<u>Per Month</u>	
For 5/8 x 3/4-inch meter	\$9.82	(I)
For 3/4-inch meter	\$14.73	
For 1-inch meter	\$24.56	
For 1-1/2-inch meter	\$49.12	
For 2-inch meter	\$78.58	
For 3-inch meter	\$147.35	
For 4-inch meter	\$245.58	
For 6-inch meter	\$491.15	
For 8-inch meter	\$785.84	
For 10-inch meter	\$1,129.65	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all general metered services and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY UTILITY)  
 ADVICE LETTER NO. 1139-A  
 DECISION NO. \_\_\_\_\_

ISSUED BY  
J.T. LINAM  
 NAME  
DIRECTOR - Rates & Regulatory  
 TITLE

(TO BE INSERTED BY C.P.U.C)  
 DATE FILED 1-9-2017  
 EFFECTIVE 1-13-2017  
 RESOLUTION \_\_\_\_\_

Schedule No. VN-1 (continued)  
Ventura County District Tariff Area  
GENERAL METERED SERVICE

RATES (Continued)

Service Charge: Residential Fire Sprinkler System (RFSS)

	<u>Per Meter</u> <u>Per Month</u>	
For 5/8 x 3/4-inch residential to 1-inch residential metered fire sprinkler	\$8.96	(l)
For 5/8 x 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$12.74	
For 5/8 x 3/4-inch residential to 2-inch residential metered fire sprinkler	\$13.87	
For 3/4-inch residential to 1-inch residential metered fire sprinkler	\$8.42	
For 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$12.41	
For 3/4-inch residential to 2-inch residential metered fire sprinkler	\$13.58	
For 1-inch residential to 1 1/2-inch residential metered fire sprinkler	\$23.10	
For 1-inch residential to 2-inch residential metered fire sprinkler	\$24.25	
For 1 1/2-inch residential to 2-inch residential metered fire sprinkler	\$39.68	(l)

The Service Charge is a readiness-to-serve charge which is applicable to all Residential Fire Sprinkler System metered services only and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

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ISSUED BY  
J.T. LINAM  
 NAME

(TO BE INSERTED BY C.P.U.C)  
 DATE FILED 1-9-2017  
 EFFECTIVE 1-13-2017

DECISION NO. \_\_\_\_\_

DIRECTOR - Rates & Regulatory  
 TITLE

RESOLUTION \_\_\_\_\_

Schedule No. VN-1 (continued)  
Ventura County District Tariff Area  
GENERAL METERED SERVICE

SPECIAL CONDITIONS:

General Items:

1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
  
2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
  
2. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is 2.0% based on gross revenues before taxes and PUC fees for the County of Ventura and the City of Thousand Oaks.
  
3. Per Advice Letter 1072-B, a surcharge of \$0.0062 per 100 gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
  
4. Per Advice Letter 1139-A, a surcharge of \$1.21 for the Low Income Ratepayer Assistance Program (“LIRAP”) Balancing Account will be collected from all non-low income water and wastewater customers. (C) (L)
  
5. Per Advice Letter 1109-A, the under-collected balance in the Ventura County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0315 per 100 gallons over 12 months effective February 1, 2016. The total amount will be recovered from all classes of customers.
  
6. Per Advice Letter 1104, a surcharge is applied to each bill to offset the increase in purchased water cost imposed by the Calleguas Municipal Water District. This increase results in a needed revenue increase of \$709,419 or 1.90%. The surcharge of \$0.0303 per 100 gallons is added to the quantity rate effective January 1, 2016.
  
7. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharge.  
A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2015, the net under-collection totals \$4,944,811 including interest. The surcharge is \$0.0630 per 100 gallons and will remain effective for 18 months beginning March 31, 2016.

(Continued)

(N)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	<u>1139-A</u>	<u>J.T. LINAM</u>	DATE FILED	<u>1-9-2017</u>
		NAME	EFFECTIVE	<u>1-13-2017</u>
DECISION NO.	<u>                    </u>	<u>DIRECTOR - Rates &amp; Regulatory</u>	RESOLUTION	<u>                    </u>
		TITLE		

Schedule No. VN-9MC  
Ventura County District Tariff Area  
METERED CONSTRUCTION SERVICE

APPLICABILITY

Applicable to all water service furnished for construction purposes.

TERRITORY

Portions of Thousand Oaks, Newbury Park, an area adjacent to Camarillo, and vicinity, Ventura County.

RATES

Quantity Rates:	<u>Per Month</u> for 100 gal (CGL)	
For all water delivered, per CGL	\$4.4097	(l)
Minimum Charge:	<u>Per Day</u>	
For all sizes of meters	\$34.72	(l)

The Minimum Charge will entitle the customer to the quantity of water which that minimum charge will purchase at the Quantity Rates

(Continued)

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EFFECTIVE 1-13-2017  
RESOLUTION \_\_\_\_\_

DECISION NO. \_\_\_\_\_

Schedule No. VN-9MC (continued)  
Ventura County District Tariff Area  
METERED CONSTRUCTION SERVICE

SPECIAL CONDITIONS:

General Items:

1. Construction water service under this schedule will be furnished only when surplus water is available over the requirements for domestic service and under conditions which will not adversely affect domestic service. The utility will be the sole judge as to the availability of such surplus water.
2. Applicants for metered construction service will be required to apply for the service at least 48 hours in advance of the time delivery of water is requested and to pay the costs and charges as provided in Rule 13, Temporary Service.

Fees and Surcharges:

3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
4. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is 2.0% based on gross revenues before taxes and PUC fees for the County of Ventura and the City of Thousand Oaks.
5. Per Advice Letter 1072-B, a surcharge of \$0.0062 per hundred gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
6. Per Advice Letter 1139-A, a surcharge of \$1.21 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers. (C)  
(L)
7. Per Advice Letter 1109-A, the under-collected balance in the Ventura County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0315 per hundred gallons over 12 months effective February 1, 2016. The total amount will be recovered from all classes of customers.
8. Per Advice Letter 1104, a surcharge is applied to each bill to offset the increase in purchased water cost imposed by the Calleguas Municipal Water District. This increase results in a needed revenue increase of \$709,419 or 1.90%. The surcharge of \$0.2266 per hundred cubic feet (Ccf) or \$0.0303 per 100 gallons is added to the quantity rate effective January 1, 2016.
9. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharge.  
 A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2015, the net under-collection totals \$4,944,811 including interest. The surcharge is \$0.0630 per 100 gallons and will remain effective for 18 months beginning March 31, 2016.

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	NAME	EFFECTIVE <u>1-13-2017</u>
DECISION NO. _____	<u>DIRECTOR - Rates &amp; Regulatory</u>	RESOLUTION _____
	TITLE	

**CALIFORNIA AMERICAN WATER COMPANY**

655 West Broadway, #1410  
San Diego, CA 92101

Revised C.P.U.C SHEET NO. 8340-W

CANCELLING New C.P.U.C SHEET NO. 8130-W

Schedule No. CA-LIRA (Continued)  
California American Water  
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

RATES:

**Central Division:**

**Toro**

Quantity Rates:	<u>Base Rate</u>
	<u>Per 100 gal (CGL)</u>
For the first 89.8 CGL	\$0.5271
For the next 44.9 CGL	\$0.7531
For the next 725.6 CGL	\$1.0825
For all water delivered over 860.2 gal.	\$1.6097

Service Charge: General Metered	<u>Per Meter</u>
	<u>Per Month</u>
For 5/8 x 3/4-inch meter	\$8.05
For 3/4-inch meter	\$12.07
For 1-inch meter	\$20.11
For 1-1/2-inch meter	\$40.22
For 2-inch meter	\$64.36
For 3-inch meter	\$120.68
For 4-inch meter	\$201.14
For 6-inch meter	\$402.26
For 8-inch meter	\$643.62

**Southern Division:**

**Ventura**

Quantity Rates:	<u>Base Rate</u>	
	<u>Per 100 gal (CGL)</u>	
For the first 89.7 CGL	\$0.3952	(I)
For the next 89.7 CGL	\$0.5067	(I)
For the next 269.2 CGL	\$0.8424	(I)
For all water delivered over 448.8 CGL	\$1.2414	(I)

Service Charge: General Metered	<u>Per Meter</u>	
	<u>Per Month</u>	
For 5/8 x 3/4-inch meter	\$7.86	(I)
For 3/4-inch meter	\$11.78	
For 1-inch meter	\$19.65	
For 1-1/2-inch meter	\$39.30	
For 2-inch meter	\$62.86	
For 3-inch meter	\$117.88	
For 4-inch meter	\$196.46	
For 6-inch meter	\$392.92	
For 8-inch meter	\$628.67	
For 10-inch meter	\$903.72	(I)

(Continued)

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<u>SUBJECT MATTER OF SHEET</u>		<u>C.P.U.C. SHEET NO.</u>
<u>SERVICE AREA MAP (Continued):</u>		
Sacramento		8320-W, 6592-W, 6593-W, 6594-W, 6595-W, 6596-W, 7500-W, 6598-W, 6599-W, 6600-W
Sacramento- Dunnigan		8163-W
Sacramento- Geyserville		8321-W
San Diego County		6601-W, 6602-W
Ventura County		6603-W, 6604-W
<u>RATE SCHEDULES:</u>		
All Districts -- Low Income Ratepayer Assistance Program		
CA-LIRA	California American Water Low Income Ratepayer Assistance Program	8327-W, 8270-W, 8269-W, 8340-W, (C) 8140-W, 8097-W, 8240-W, 7724-W, 7725-W, 7726-W
CA-4	California American Water Private Fire Protection Service	8328-W, 8307-W, 7739-W, 7740-W, 7741-W, 8001-W, 8020-W, 8021-W, 7628-W, 7625-W, 7636-W, 7640-W, 7643-W, 7632-W, 7634-W, 8197-W, 8206-W
CA-4H	Private Fire Hydrant Service	8308-W, 7743-W, 7744-W, 8186-W, 8002-W, 8022-W, 7626-W, 7641-W
Larkfield District		
LK-1	General Metered Service	8144-W, 8145-W, 8286-W, 7995-W, 8018-W, 7633-W
LK-F	Facilities Fees	7651-W
Los Angeles County District		
LA-1	General Metered Service	8209-W, 8135-W, 8136-W, 8311-W, 8312-W, 8250-W, 8016-W, 7630-W
LA-3M	Measured Irrigation Service	8138-W, 7712, 8205-W, 8251-W, 8017-W, 7631-W
Monterey County District (Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)		
MO-1	General Metered Service Residential & Multi-Residential Customers	8264-W, 7661-W, 7662-W, 7663-W, 7664-W, 8112-W, 7666-W, 8225-W, 8214-W, 8226-W, 7974-W, 7671-W, 8023-W, 7637-W, 8257-W
MO-1C	General Metered Service Non-Residential Customers	8265-W, 7673-W, 7674-W, 7675-W, 7676-W, 7677-W, 8114-W, 7679-W, 8227-W, 8228-W, 7975-W, 7683-W, 8024-W, 7638-W, 8258-W
MO-1O	General Metered Service Other Customers	8266-W, 8267-W, 7686-W, 7687-W, 8117-W, 7689-W, 8229-W, 8230-W, 7692-W, 8025-W, 7639-W, 8259-W

(Continued)

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RESOLUTION \_\_\_\_\_

TABLE OF CONTENTS (Continued)

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<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
<u>RATE SCHEDULES (Continued):</u>	
Monterey County District (Continued)	
<i>(Monterey Main, Hidden Hills, Ryan Ranch, &amp; Bishop Service Areas) (Continued)</i>	
MO-1 MU      General Metered Service	8268-W, 7728-W, 7729-W, 7730-W
Residential/Non-Residential Mixed Use	7731-W, 8231-W, 8220-W, 8232-W
	7735-W, 7736-W, 8026-W, 8260-W
<i>(Ambler Park, Ralph Lane &amp; Chualar Service Areas)</i>	
MO-ARC-1      General Metered Service	8271-W, 8119-W, 8120-W, 8296-W,
	8027-W, 7642-W, 8237-W
<i>(Toro)</i>	
MO-TO-1      General Metered Service	8128-W, 8293-W, 8019-W, 7644-W
<i>(Garrapata)</i>	
MO-GA-2      General Flat Rate Service	7693-W, 8170-W
Sacramento District	
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SC-DU-1      General Metered Service	8160-W
SC-DU-1F     Flat Rate Service	8161-W
SC-GY-1      General Metered Service	8316-W
SC-GY-1F     Flat Rate Service	8317-W
San Diego County District	
SD-1           General Metered Service	8094-W, 8095-W, 8184-W, 8013-W,
	7624-W
Ventura County District	
VN-1           General Metered Service	8335-W, 8336-W, 8337-W, 8014-W,      (C)
	7627-W
VN-9MC       Metered Construction Water	8338-W, 8339-W, 8015-W, 7629-W      (C)

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(Page 1)

The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
TITLE PAGE	8315-W
TABLE OF CONTENTS	8343-W, 8341-W, 8342-W, 8333-W, 8304-W, 8068-W, 8069-W, 8070-W, 8071-W (C)
PRELIMINARY STATEMENTS	7828-W, 8318-W, 7748-W, 7749-W, 7750-W, 7751-W, 7752-W, 7753-W, 7754-W, 7755-W, 7756-W, 7757-W, 8079-W, 7759-W, 7830-W, 7831-W, 7832-W, 7833-W, 7834-W, 7835-W, 7836-W, 7837-W, 7838-W, 7769-W, 7770-W, 7771-W, 7772-W, 7773-W, 7774-W, 7775-W, 7776-W, 7777-W, 7778-W, 7779-W, 7780-W, 7781-W, 7782-W, 7783-W, 7784-W, 7785-W, 7786-W, 7787-W, 7788-W, 7789-W, 7790-W, 7791-W, 7792-W, 7793-W, 7794-W, 7839-W, 7796-W, 7797-W, 7798-W, 7799-W, 7800-W, 7801-W, 7802-W, 7803-W, 7804-W, 8319-W, 8081-W, 8082-W, 8178-W, 8302-W
<b><u>SERVICE AREA MAP:</u></b> California-American Water Company	5470-W
Larkfield	6569-W
Los Angeles County	6570-W
Baldwin Hills	6571-W, 6572-W,
Duarte	6578-W
San Marino	6573-W, 6574-W, 6575-W, 6576-W, 8211-W
Monterey County	7053-W, 6580-W, 6581-W, 6582-W, 6583-W, 6584-W, 6585-W, 6586-W, 6587-W, 6588-W, 6589-W, 6590-W, 944 -W, 945 -W, 947 -W, 948 -W, 949 -W, 950 -W, 951 -W, 952 -W, 953 -W, 954 -W, 955 -W, 957 -W, 958 -W, 959 -W, 960 -W, 961 -W, 962-W, 963 -W, 964 -W, 966 -W, 967 -W, 968 -W, 969 -W, 971 -W, 972 -W, 973 -W, 974 -W, 975 -W, 976 -W, 977 -W, 978 -W, 979 -W, 980 -W, 981 -W, 982 -W, 983 -W, 984 -W, 7054-W

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EFFECTIVE 1-13-2017  
RESOLUTION

CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

Utility Name: California American Water Date Mailed to Service List: January 9, 2017  
District: Ventura County District  
CPUC Utility #: U210W Protest Deadline (20<sup>th</sup> Day): January 29, 2017  
Advice Letter #: 1139-A Review Deadline (30<sup>th</sup> Day): February 9, 2017  
Tier 1 2 3  Compliance Requested Effective Date: January 7, 2017  
Authorization D.15-04-007 Rate Impact: \$See AL  
Description: 2017 Step rate filing. See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: James Kelly  
Phone: 916-568-4275  
Email: James.Kelly01@amwater.com

Utility Contact: Sherrene Chew  
Phone: 916-568-4233  
Email: Sherrene.chew@amwater.com

DWA Contact: Tariff Unit  
Phone: (415) 703-1133  
Email: [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

DWA USE ONLY

DATE	STAFF	COMMENTS
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

Signature: \_\_\_\_\_

Comments: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_



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 Sacramento, CA 95838  
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January 9, 2017

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XXXX-W	Schedule No. VN-1 Ventura County District Tariff Area GENERAL METERED SERVICE	8084-W
XXXX-W	Schedule No. VN-1 (Continued) Ventura County District Tariff Area GENERAL METERED SERVICE	8085-W
XXXX-W	Schedule No. VN-1 (Continued) Ventura County District Tariff Area GENERAL METERED SERVICE	XXXX-W
XXXX-W	Schedule No. VN-9MC Ventura County District Tariff Area METERED CONSTRUCTION SERVICE	8087-W
XXXX-W	Schedule No. VN-9MC (Continued) Ventura County District Tariff Area METERED CONSTRUCTION SERVICE	XXXX-W
XXXX-W	Schedule No. CA-LIRA Ventura County District Tariff Area LOW INCOME RATEPAYER ASSISTANCE PROGRAM	8130-W
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**Purpose:**

This advice letter filing is being made in order to request approval of the 2017 step increase for Ventura County District in accordance with California Public Utilities Commission (Commission) Decision (D.) 15-04-007. This supplement is being submitted for minor revisions discussed with the Division of Water and Audits, including updates to the Private Fire Protection Service rates.

**Background:**

Submission of these tariffs is made in compliance with Ordering Paragraph 8 of D. 15-04-007 which states:

*For escalation years 2016 and 2017, California-American Water Company shall file Tier 2 advice letters proposing new revenue requirements and corresponding revised tariff schedules for each district. The filing shall include rate procedures set forth in the Commission's Rate Case Plan (Decision 07-05-062) for Class A Water Utilities and shall include appropriate supporting workpapers. The revised tariff schedules shall take effect no earlier than January 1, 2016, and January 1, 2017, respectively and shall apply to service rendered on and after their effective dates.*

These tariffs are also being filed in compliance with ordering paragraph 13 of D. 15-04-007 which states:

*13. California-American Water Company is required to file 2016 and 2017 escalation year filings for each and every district.*

This filing also includes an analysis on Cal-Am's low income program per the settlement agreement adopted in D.15-04-007, which states:

*...ORA and CAW further agree:*

- 1. The program will be a Company-wide program,*
- 2. A surcharge will be based on the parameters and calculations as detailed in the above Rate Design Section 10 of this document,*
- 3. The surcharge will be applicable to all non-low Income water and wastewater customers,*
- 4. The surcharge will be evaluated and adjusted annually in the annual Step rate filings to ensure appropriate collections, and*

*The annual adjustment will be based on calculations as provided in Attachment E-7 to this settlement wherein actual data for discounts, Low Income customers and total customers are inserted into the calculation to replace estimates that are currently shown. Actual data should be through September 30, of the last recorded period.*

**Request:**

This advice letter filing is to request implementation of Ventura County District's 2017 step increase. As shown in the supporting earnings test and workpapers for the twelve months ended September 30, 2016, the district earned a rate of return of 9.49% on a recorded basis and 9.30% on a "proforma" basis. The most recent authorized rate of return per D.12-07-009 was 8.41% adjusted to 8.66% to match the designated pro forma period.

In accordance with the Commission staff's procedures for filing step increase, attrition and offset advice letters, since the pro forma rate of return is above the authorized rate of return of the same time period, the district is over earning and is, therefore, not entitled to the full step rate increase. California American Water, therefore, respectfully requests a step rate increase in the amount of \$1,011,425 or 2.73%.

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

The actions requested in this advice letter are not now the subject of any formal filings with the Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

**Tier Designation:**

Pursuant to D.15-04-007, this advice letter is submitted with a Tier 2 designation.

**Effective Date:**

Pursuant to D.15-04-007, California American requests an effective date of January 7, 2017.

**RESPONSE OR PROTEST<sup>1</sup>**

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

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<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**

[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**

CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

**Email Address:**

[todd.pray@amwater.com](mailto:todd.pray@amwater.com)

**Mailing Address:**

4701 Beloit Drive  
Sacramento, CA 95838

[sarah.leeper@amwater.com](mailto:sarah.leeper@amwater.com)

555 Montgomery Street, Ste. 816  
San Francisco, CA 94111

[james.kelly01@amwater.com](mailto:james.kelly01@amwater.com)

4701 Beloit Drive  
Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

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<sup>3</sup> G.O. 96-B, General Rule 7.4.3



*/s/ Todd Pray*

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Todd Pray  
Senior Manager - Rates & Regulatory

**VENTURA DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1139-A**

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Environmental Mgt  
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#Sacramento CA 95899-7413

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Los Angeles Docket Office  
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Ventura County Waterworks  
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**ADVICE LETTER 1139-A**

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**ADVICE LETTER 1139-A**

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